

**Q&A Addendum**  
**Institutional Custody Services for Fixed Income Portfolios**  
**RFP 04072016**

**This document provides question and answer information pertaining to the above captioned RFP and will be updated as necessary.**

**REMINDER:** It is the Respondent's responsibility to thoroughly examine and read the entire RFP document and any appendices and addenda to this RFP.

**DUE DATE EXTENSION:** Proposal submission deadline has been extended by one day to **Friday, April 22, 2016 by 2:30 PM CST**. All else regarding this RFP solicitation remains as is.

**Posted April 15, 2016**

**Question:** I was curious if the VPAT form referenced would apply to the online access granted to the university in order to view statements and transactions associated with your custody accounts, or if that were out of the scope of the RFP since the RFP is for custody services?

**Answer:** The only view access is available to PFM – not public, therefore not subject to the VPAT requirements.

**Question:** Also, under the Securities Liquidation Services section, the RFP mentions that foreign securities could potentially be gifted. Based on your experience, what foreign markets would you expect those gifted securities to include?

**Answer:** Historically the only two countries from which we have received gifted securities are Canada and UK.

**Question:** Do you expect to hire additional Investment Managers to manage other assets outside of the scope of this RFP?

**Answer:** No

**Question:** In the RFP you mention having three custody accounts, does one of those three accounts include the gifted securities, or would a fourth account be required?

**Answer:** We will need a fourth account that will typically have a zero balance for gifted securities.

**Question:** Please explain Section 2 point 12 regarding the notification of securities received in accounts and verification of approval to sell. Is this solely related to gifted securities or all accounts?

**Answer:** This is related only to the sale of gifted securities.

**Question:** We would like to request an extension of RFP 04072016 from April 21<sup>st</sup> to end of the business day on April 22<sup>nd</sup>.

**Answer:** Deadline is extended one day to 4/22/16 by 2:30 PM CST.